

Application of Quantum Monte Carlo to the Hubbard Model



Huy Nguyen

Advisor: Darrell Schroeter (Reed)
Collaborator: Shiwei Zhang (W&M)

September 11, 2014



Layout

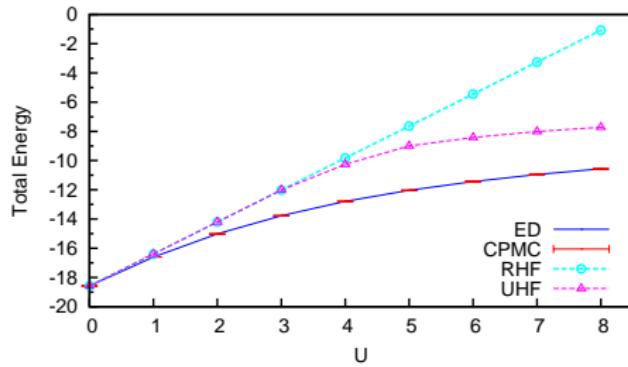
- Strongly correlated systems.
- The Hubbard model.
- QMC basics.
- CPMC-Lab.
- Imaginary-time Green's functions.
 - Benchmarks.
 - Applications.





Strongly correlated systems

- Frontier in physics, exotic and exciting properties:
 - High- T_c , colossal magnetoresistance, heavy-fermion metals etc.
- Particles interact strongly and cannot be treated individually.

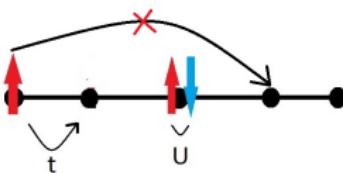


Failure of traditional methods (16×1 Hubbard $5 \uparrow 7 \downarrow$).

[Nguyen, Shi, Xu and Zhang, CPC (in press)]



Hamiltonian of Hubbard model



- Hamiltonian (2nd quantization)

$$\hat{H} = \hat{K} + \hat{V} = -t \sum_{\langle ij \rangle \sigma} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

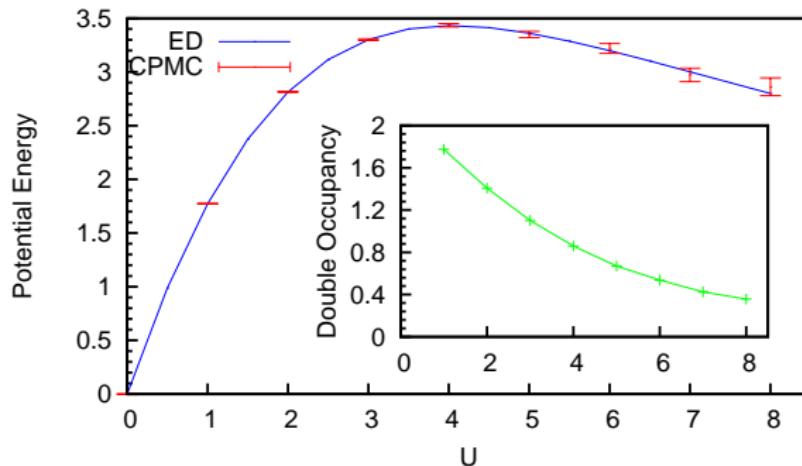
[John Hubbard, *Proc. R. Soc. A* (1963)]

- Simplest model to capture both band structure and electron-electron interactions.
- Minimal model for cuprate superconductors.



Parameters of Hubbard model

- 2 parameters: correlation strength U/t and filling $N_{\text{electrons}}/N_{\text{sites}}$.



[Nguyen et al., CPC (in press)]

Potential energy & double occupancy (16×1 Hubbard $5 \uparrow 7 \downarrow$).



Challenges of Hubbard model

- No analytic formulas for energy and equal-time correlations in more than 1-D.
 - No analytic formulas for unequal-time correlations in 1-D.
- Exact numerical methods: computational cost increases exponentially with N_{sites} .
- Largest 2-D Hubbard lattice solved exactly: 20 sites at half-filling.
 - 34 billion basis states.
 - A vector in this basis takes 254GB of memory.



Quantum Monte Carlo (QMC)

- Class of stochastic algorithms.
 - Constrained Path Monte Carlo (CPMC) [Zhang, Carlson & Gubernatis, PRB (1997)].
- Ground state projection:

$$|\Psi_0\rangle = \lim_{\tau \rightarrow \infty} e^{-\tau(\hat{H} - E_0)} |\Psi_T\rangle$$

- Hubbard-Stratonovich transformation:

$$e^{-U n_{i\uparrow} n_{i\downarrow}} \propto \sum_{x_i=\pm 1} e^{x_i(n_{i\uparrow} - n_{i\downarrow}) \times \text{const}}$$

- Suzuki-Trotter decomposition

$$e^{-\Delta\tau \hat{H}} = (e^{-\tau \hat{K}} e^{-\tau \hat{V}}) + \mathcal{O}(\Delta\tau^2)$$

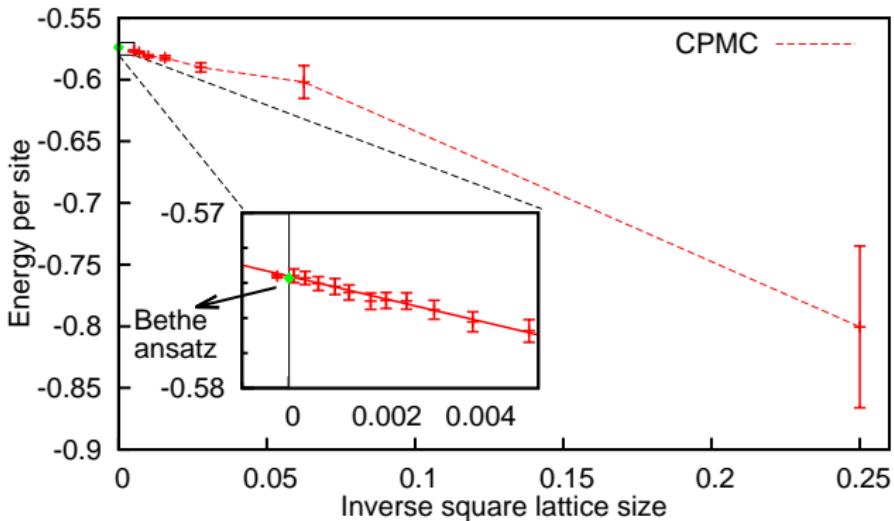


QMC basics

- Works in one-particle Hilbert spaces (subject to external fields).
 - Much smaller matrices.
- Computational cost increases polynomially: $(N_{\text{sites}})^3$ to $(N_{\text{sites}})^4$.
 - Can reach very large system sizes.
 - Accurate extrapolation to thermodynamic limit.



QMC extrapolation



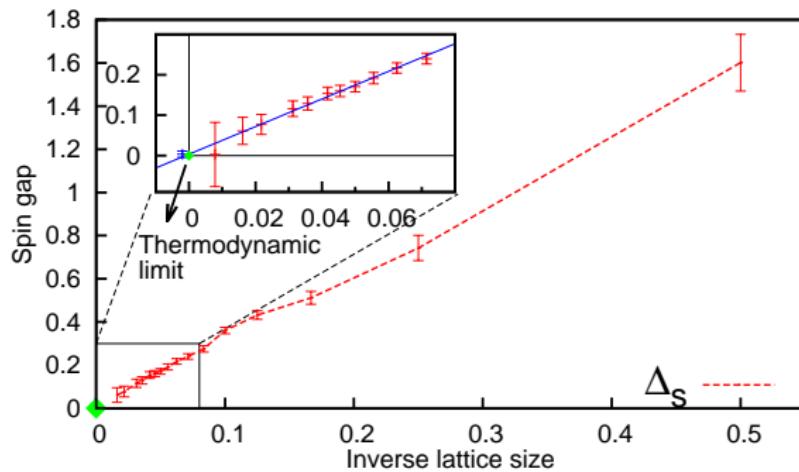
[Nguyen *et al.*, CPC (in press)]

Energy/site of 1-D Hubbard at half-filling, $U = 4$ (2 to 128 sites).



QMC extrapolation

$$\text{Spin gap} = E_0(N_\uparrow + 1, N_\downarrow - 1) - E_0(N_\uparrow, N_\downarrow)$$



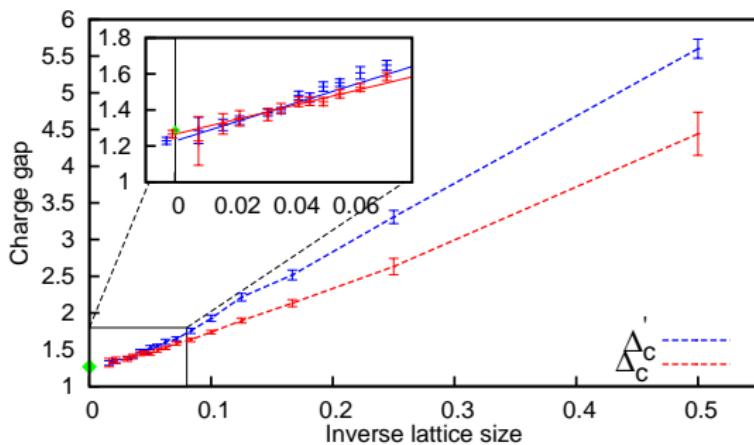
[Nguyen et al., CPC (in press)]

10 of 42 Spin gap of 1-D Hubbard at half-filling, $U = 4$ (2 to 128 sites).



QMC extrapolation

$$\text{Charge gap} = E_0(N_\uparrow + 1, N_\downarrow) + E_0(N_\uparrow - 1, N_\downarrow) - 2E_0(N_\uparrow, N_\downarrow)$$



[Nguyen et al., CPC (in press)]

11 of 42 Charge gap of 1-D Hubbard at half-filling, $U = 4$ (2 to 128 sites).



CPMC-Lab

- Written in MATLAB.
 - Only use built-in MATLAB functions.
 - Interactive development and Graphical User Interface.
- Allow further method development.
 - Other model Hamiltonians besides Hubbard.
 - Real materials.



Equal-time Green's function

■ Equal-time Green's function

$$G_{ij} = \langle c_i c_j^\dagger \rangle = \langle \Psi_T | e^{-\Delta\tau \hat{H}} \dots e^{-\Delta\tau \hat{H}} c_i c_j^\dagger e^{-\Delta\tau \hat{H}} \dots e^{-\Delta\tau \hat{H}} | \Psi_T \rangle$$

[Zhang *et al.*, PRB (1997)]

■ Unequal-time Green's function

$$\begin{aligned} \langle c_i(\tau_1) c_j^\dagger(\tau_2) \rangle &= \langle \Psi_T | e^{-\Delta\tau \hat{H}} \dots c_i e^{-\Delta\tau \hat{H}} \dots e^{-\Delta\tau \hat{H}} c_j^\dagger \dots e^{-\Delta\tau \hat{H}} | \Psi_T \rangle \\ &= \left[B \dots B \langle \Psi_T | e^{-\Delta\tau \hat{H}} \dots c c^\dagger \dots e^{-\Delta\tau \hat{H}} | \Psi_T \rangle \right]_{ij} \\ &= [B(\tau_1, \tau_2) G(\tau_2)]_{ij} \end{aligned}$$

[Feldbacher & Assaad, PRB (2001)]



Unequal-time Green's function

- Connect theory to experiments.

Sums & products
of imaginary-time
Green's functions

Neutron scattering
cross section

Correlation functions

Structure factors

Laplace/Fourier transform

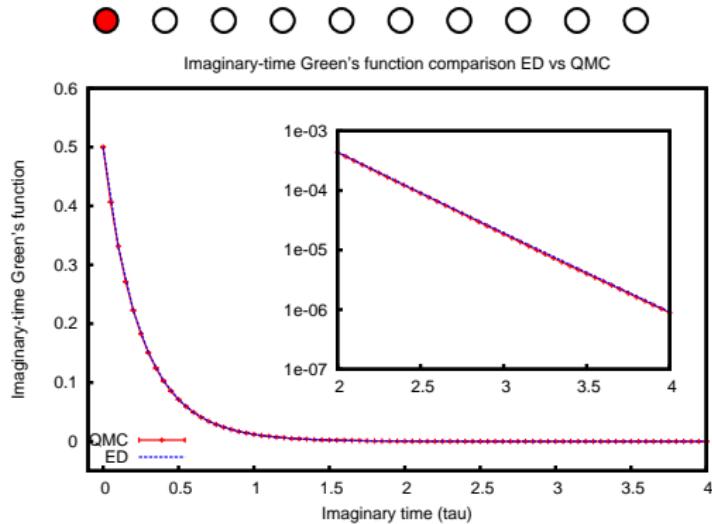
Wick's theorem

Proportionality





Benchmark 1-D



Benchmark: 10×1 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



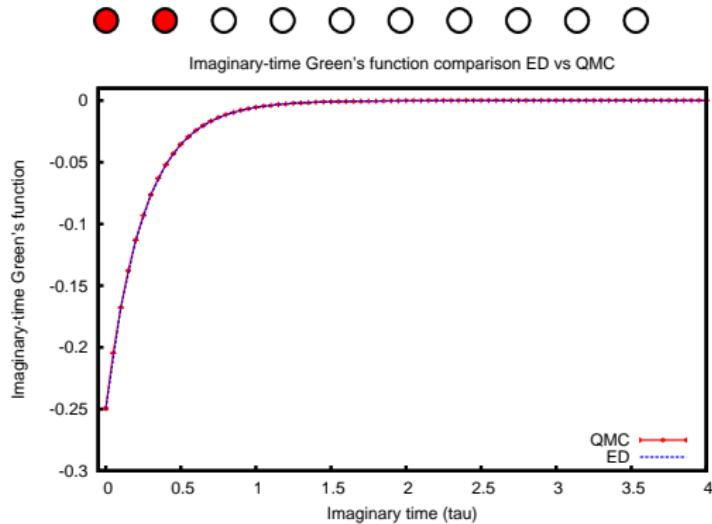
Chemical potential

$$\begin{aligned}\langle c_i(\tau) c_j^\dagger \rangle &= \sum_k a_k e^{-\tau(E_k^{N+1} - E_0^N)} \\ &\stackrel{\tau \rightarrow \infty}{=} \text{constant} \times e^{-\tau(E_0^{N+1} - E_0^N)} \\ \implies \text{slope} &= -\text{chemical potential}\end{aligned}$$

- Exact chemical potential: 2.981.
- Slope of log plot: 3.01(3) (for $\tau = 3$ to 4).



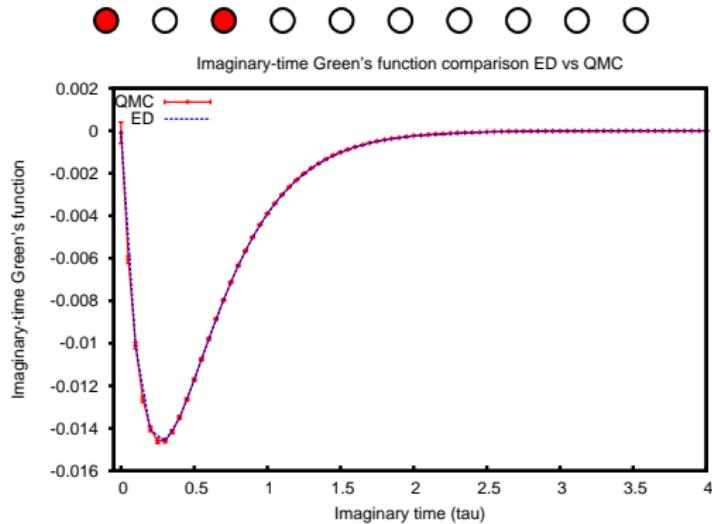
Benchmark 1-D



Benchmark: 10×1 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



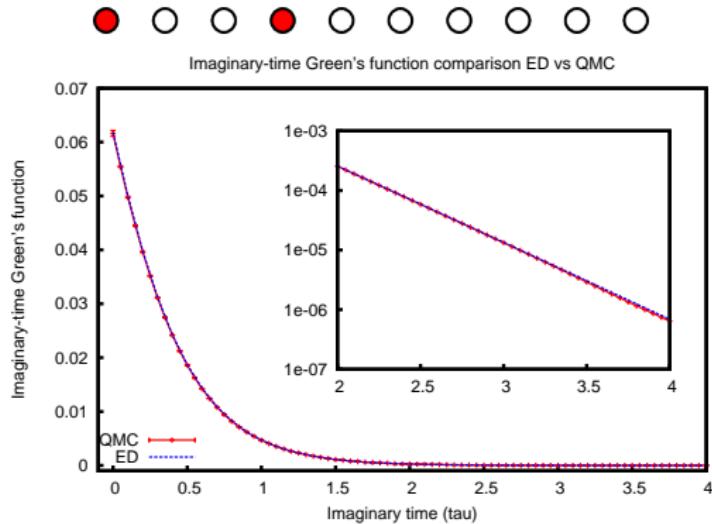
Benchmark 1-D



Benchmark: 10×1 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



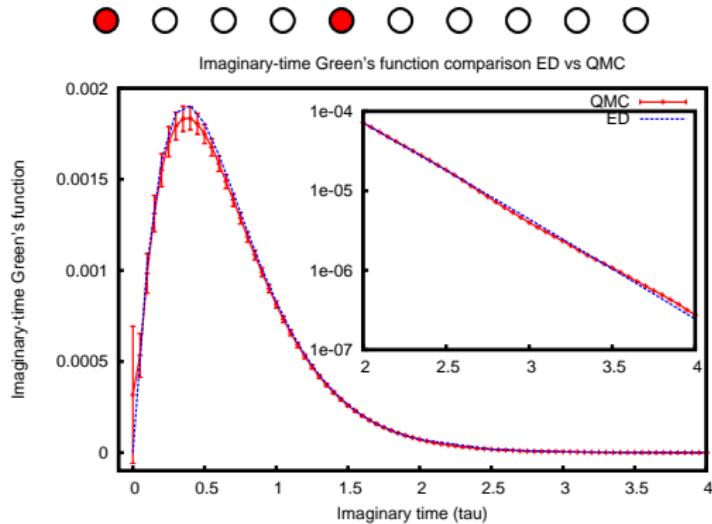
Benchmark 1-D



Benchmark: 10×1 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



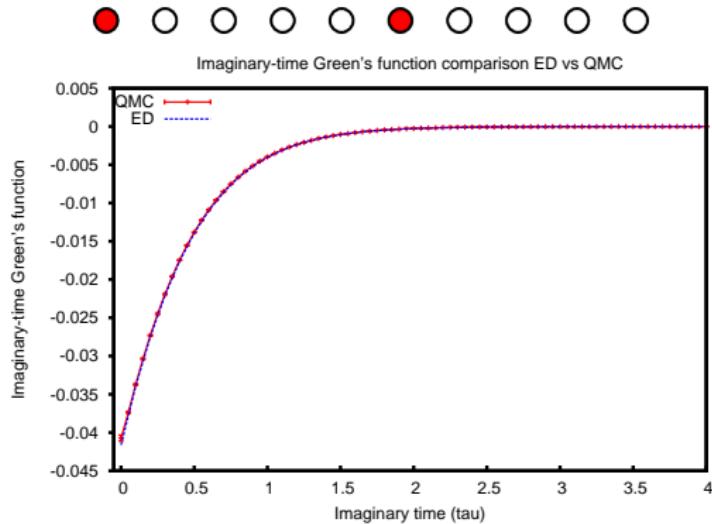
Benchmark 1-D



Benchmark: 10×1 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



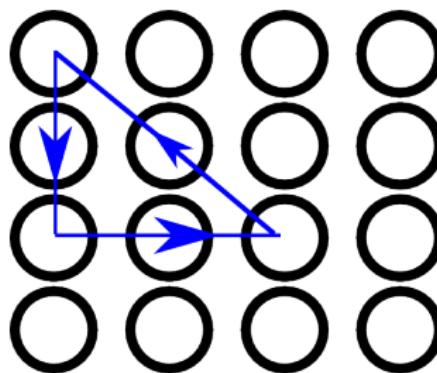
Benchmark 1-D



Benchmark: 10×1 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



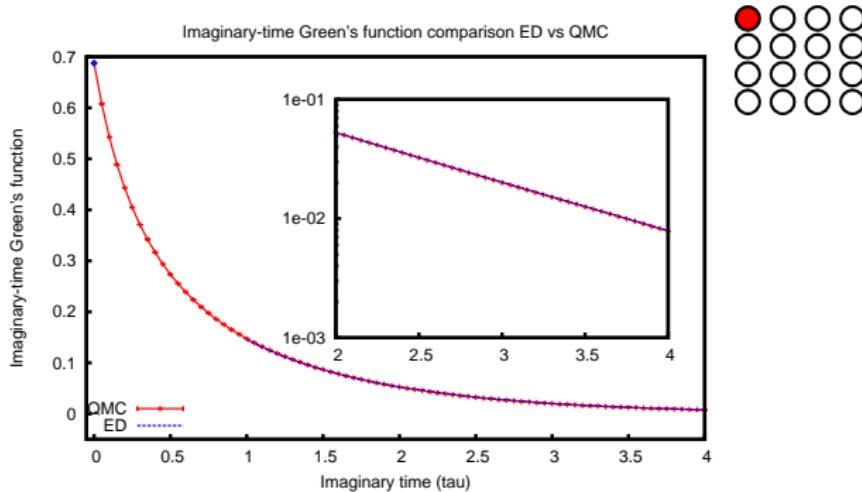
Benchmark 2-D



4×4 lattice, periodic boundary condition.



Benchmark 2-D

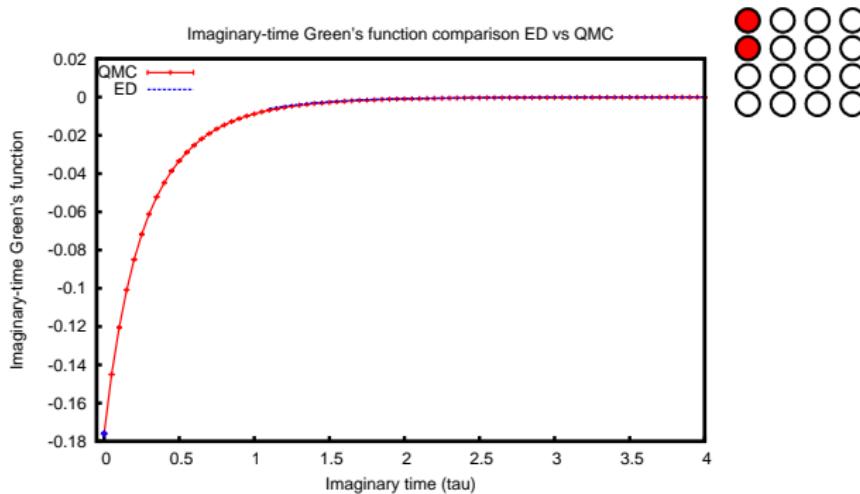


Benchmark: 4×4 lattice, $5 \uparrow 5 \downarrow$, $U = 4$

Exact chemical potential: 0.933. Slope: 0.935(6) for $\tau = 3$ to 4.



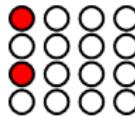
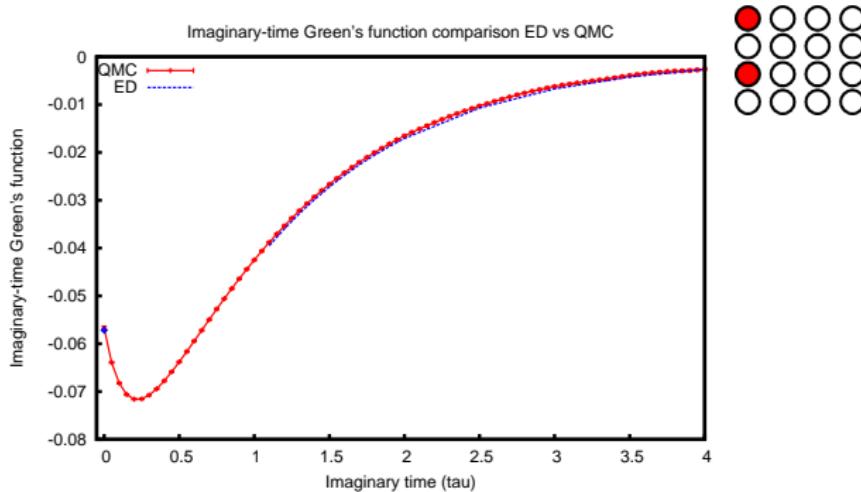
Benchmark 2-D



Benchmark: 4×4 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



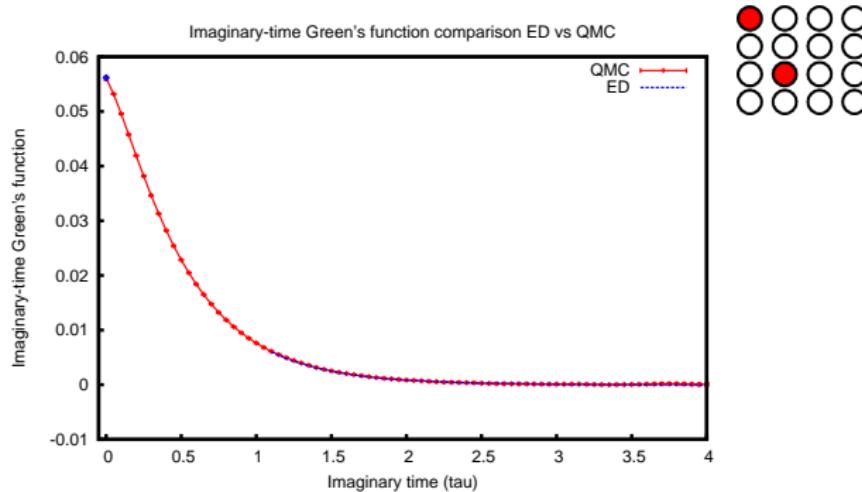
Benchmark 2-D



Benchmark: 4×4 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



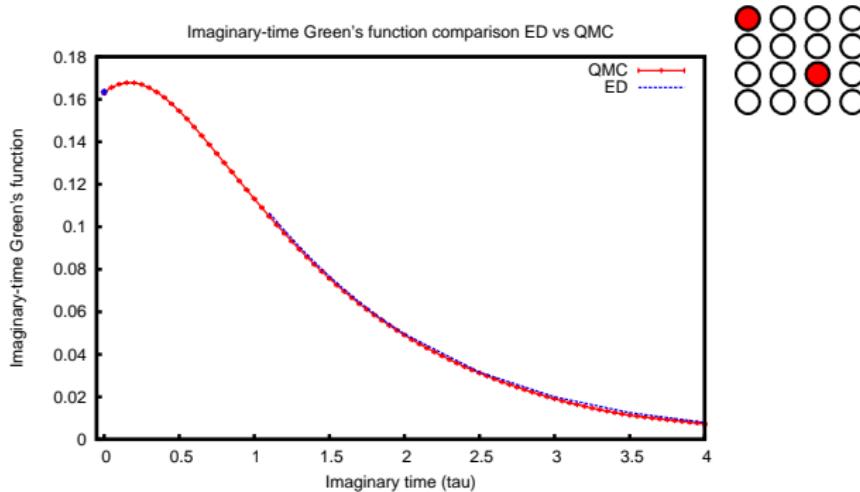
Benchmark 2-D



Benchmark: 4×4 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



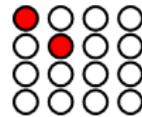
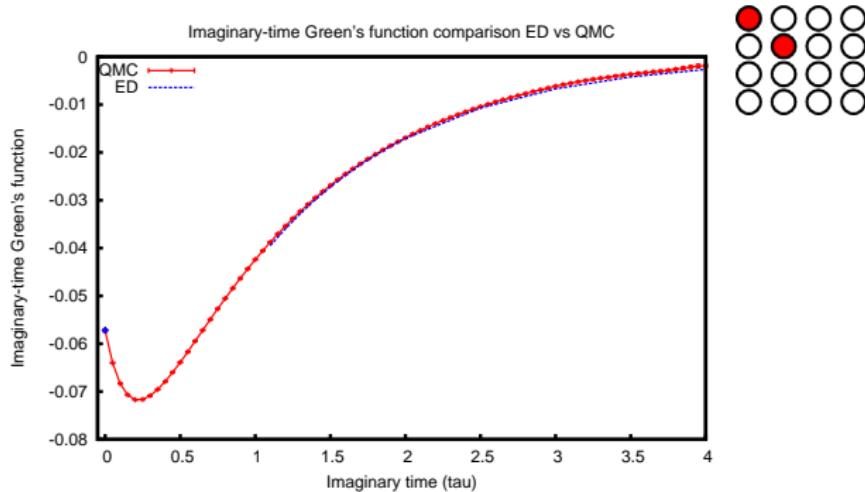
Benchmark 2-D



Benchmark: 4×4 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



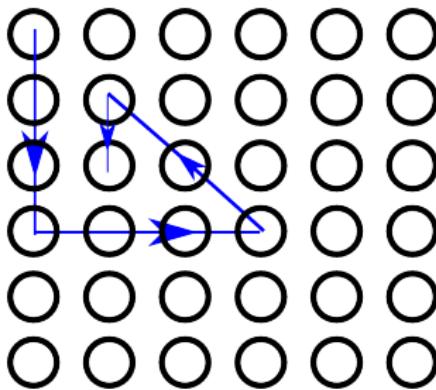
Benchmark 2-D



Benchmark: 4×4 lattice, $5 \uparrow 5 \downarrow$, $U = 4$



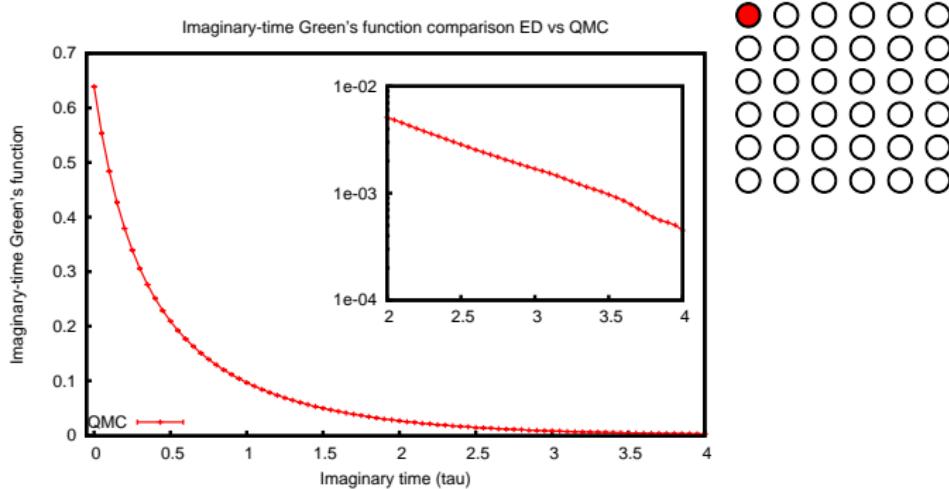
Application



- 6×6 lattice, $13 \uparrow 13 \downarrow$ electrons.
- Hilbert space dimension: 5.4×10^{18}
 - 156m times larger than largest ED system.



Application

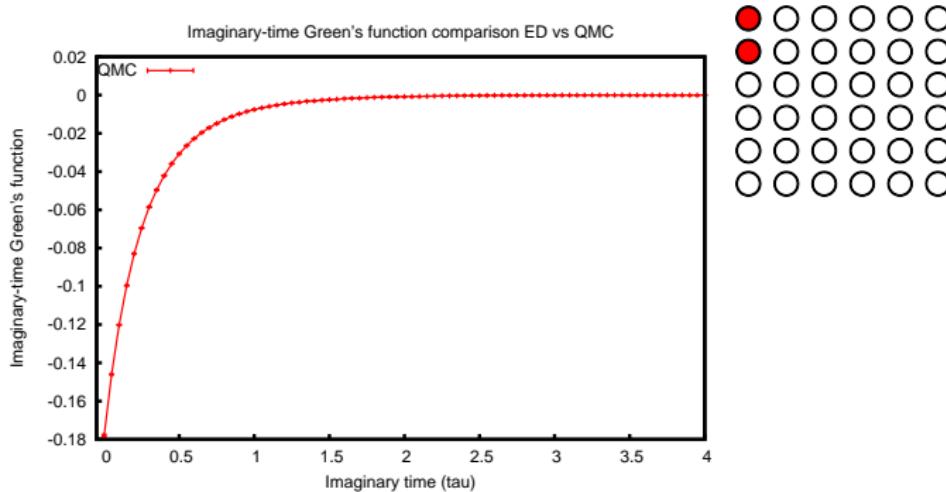


Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$

Chemical potential: $-1.179(5)$ ($\tau = 3$ to 4).



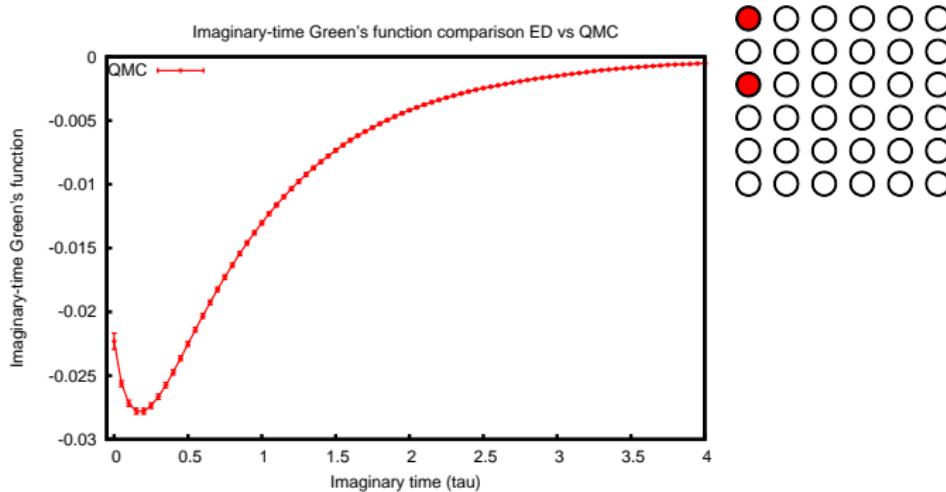
Application



Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$



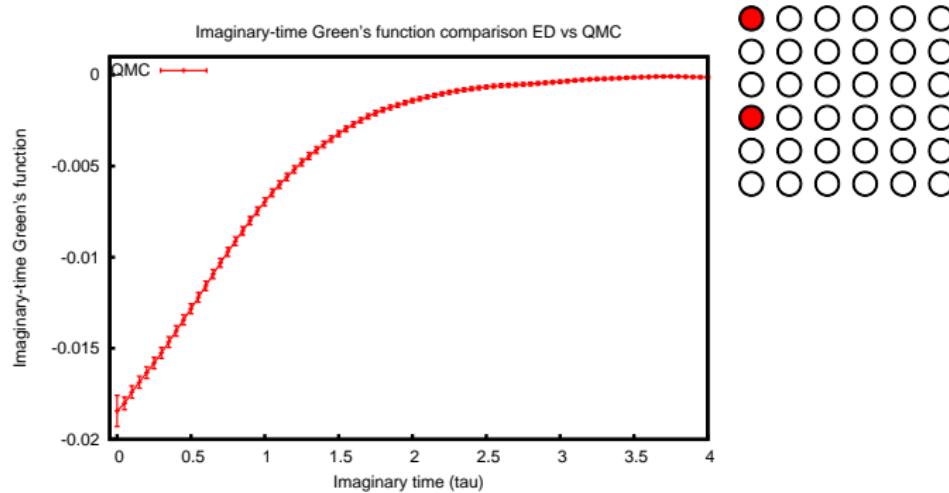
Application



Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$



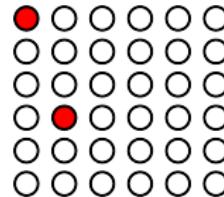
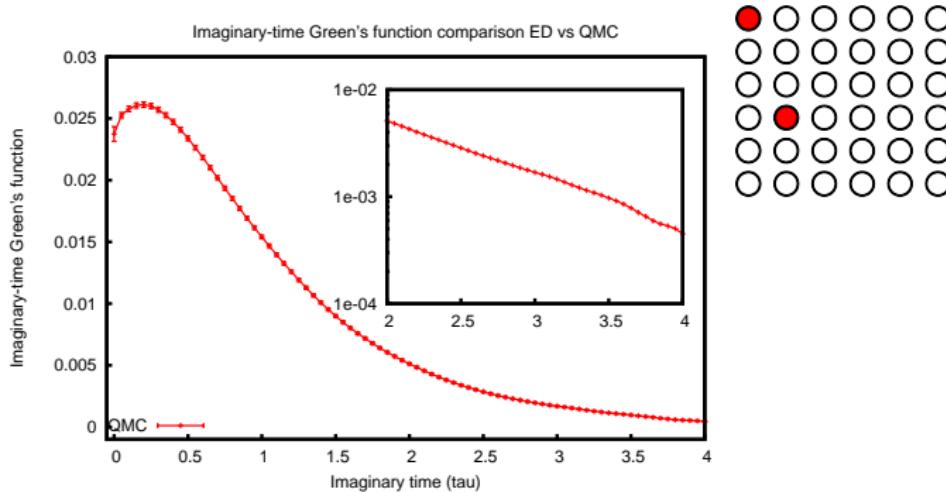
Application



Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$



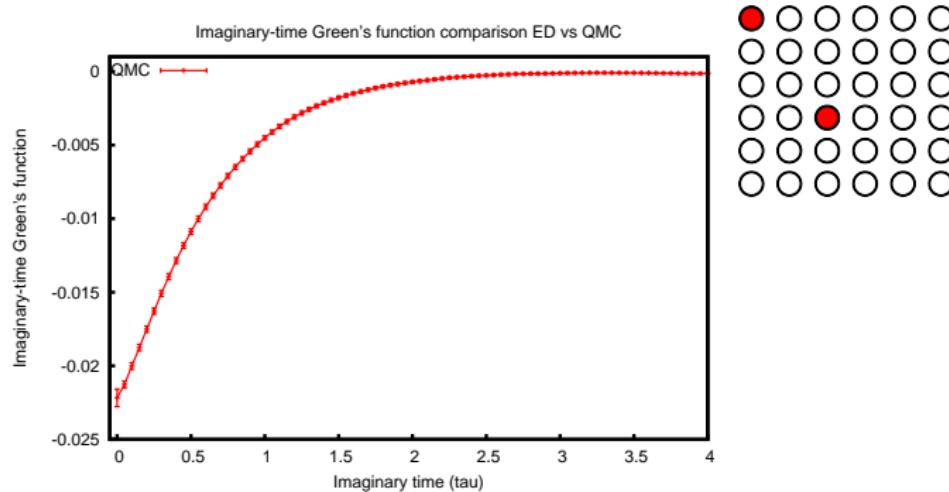
Application



Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$



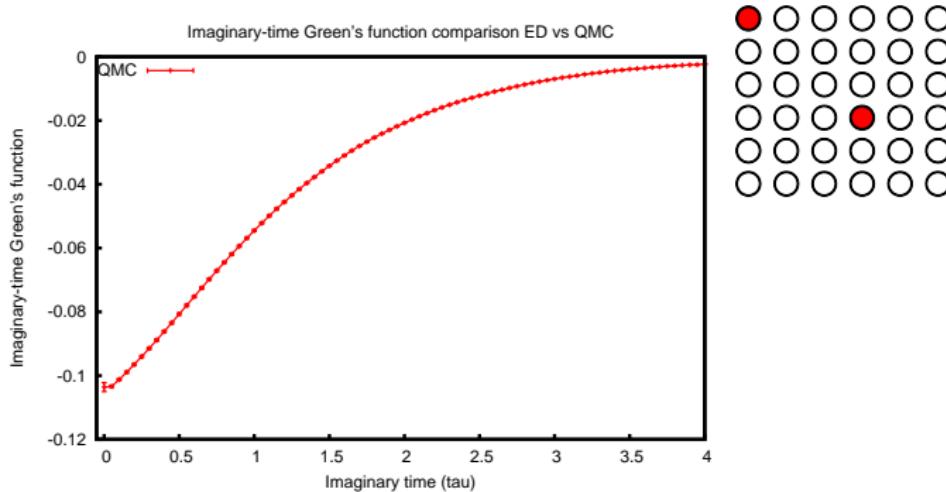
Application



Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$



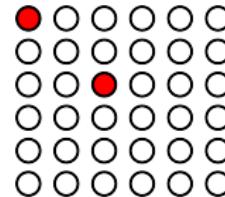
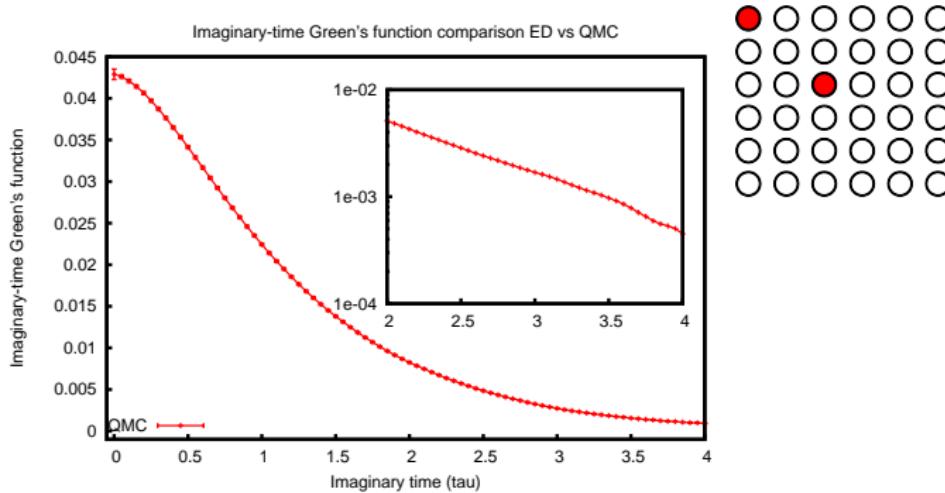
Application



Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$



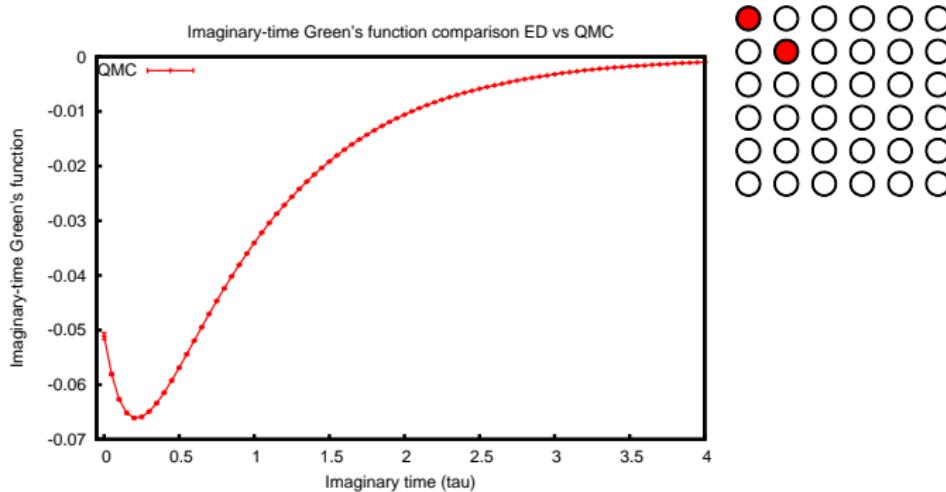
Application



Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$



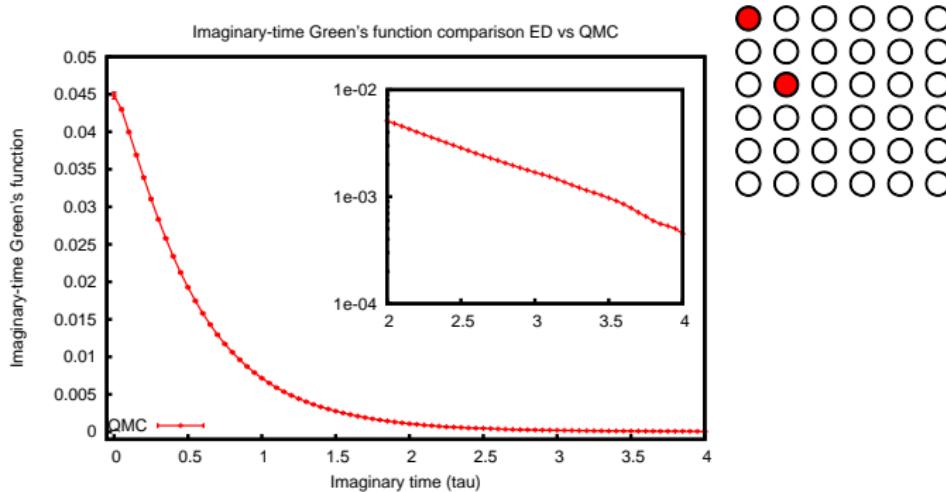
Application



Benchmark: 6×6 lattice, $13 \uparrow 13 \downarrow$, $U = 4$



Application





Future work

- Better ED benchmarks.
- Multi-determinant trial wave function.
- Calculate physical quantities:
 - Charge and magnetic structure factors and susceptibility.
 - Current-current correlator, Drude weight.
- Convert imaginary-time Green's function calculation to FORTRAN.



Acknowledgements

- Prof. Darrell Schroeter (Reed College).
- Prof. Shiwei Zhang (College of William & Mary).
- Financial support:
 - Reed College.
 - NSF.
- Computational support: W&M's SciClone high-performance computing cluster.
- For more details, visit www.huy-nguyen.com/Reed-thesis .



References

- Zhang, Carlson and Gubernatis, Phys. Rev. B **55**, 7464 (1997).
- Feldbacher and Assad, Phys. Rev. B **63**, 073105 (2001).
- Nguyen, Shi, Xu and Zhang, Comput. Phys. Commun. (in press).
Preprint: arXiv:1407.7967